

**Attachment 4**

**Section 26.45: Overall Goal Calculation**

**Name of Recipient:** The Regional Planning Commission for Jefferson, Orleans, Plaquemines, St. Bernard, St. Tammany and Tangipahoa Parishes

**Goal Period:** FFY 20-22 – October 1, 2020 through September 30, 2022

**DOT-assisted contract amount:** \$2.5 million                      **DBE Goal:** 21%

**Total dollar amount to be expended on DBE's:** \$525,000

**Describe the Number and Type of Projects for this FY:**

6 projects for an estimated total of \$385,000 with a goal of \$80,500 DBE participation.

**Market Area:** The Louisiana Parishes of Jefferson, Orleans, Plaquemines, St. Bernard, St. Charles, St. John, St. Tammany and Tangipahoa

**Determination of Market Area:**

The eight parishes are the member parishes of the Regional Planning Commission Transportation Policy Committee. All of the contracting dollars that will be expended will be spent in this area and at least 60% of the contractors and subcontractors come from this area.

**Step 1. Analysis:** Actual relative availability of DBE's

Method: Use DBE Directories and Census Bureau Data

NAICS	Description	Total DBE's	Total All Firms	Base Figure
541310	Architectural Services	19	130	15%
541320	Landscape Architectural Services	5	27	19%
541330	Engineering Services	33	494	7%
541611	Management Consulting Serv.	24	335	7%
541620	Environmental Consulting Services	18	53	34%
541720	Research and Development /Demographic	2	19	11%
<b>Total</b>		<b>101</b>	<b>1058</b>	<b>10%</b>

Source: Louisiana Department of Transportation and Development UCP Directory  
Louisiana Establishment Data by NAICS Code for 2020 EMSI QCEW US Bureau of Labor Statistics

Divide the total number of DBE's by the total number of All Firms = base figure for each project.

To determine the overall base figure for more than one project, add all the project percentages together. The overall base figure comes out to be 10%.

RPC does not use weighting in the calculation of our overall DBE goal. Opportunities for weighting are analyzed on a project by project basis.

**Step 2. Adjustment:** Adjustment to Step 1 base figure to make it more precise.

Three factors to consider in the adjustment of Step 1 base figure:

- a. First, the recipient researched its market area for any disparity studies that may have been conducted in the last 7 years. None were found. A study published in 1990 was considered to be outdated.
- b. Second, the recipient addressed other factors by researching and contacting the following offices:
  1. The Regional Transit Authority which has historically certified DBE firms for participation in their procurements and planning studies. The RTA's **development of FY 20-22 DBE goal** was reviewed and evaluated as it relates to the region.
  2. The Louisiana State DOTD Compliance Office which is the clearinghouse for the Unified Certification Program. The office informed RPC that the Agreement is in effect and that they are using it in their solicitations.
  3. Federal, state or local offices responsible for enforcing Civil Rights laws including the State DOTD Compliance office.
- c. Third, the recipient examined the historic overall DBE goals accomplishments at the agency in recent years. The results are summarized below:

Recipients Historic DBE Accomplishments for DOT-Assisted Contracts

Fiscal Year	Total Awarded	DBE Awarded	Percent DBE	Goal	Type of Work
2017	\$1,900,466	\$576,838	30%	21%	Planning
2018	\$2,159,519	\$610,827	28%	21%	Planning
2019	\$1,019,883	\$266,150	26%	21%	Planning
2020	\$307,520	\$116,750	38%	21%	Planning
2021(current)	\$265,000	\$64,650	24%	21%	Planning
<b>Total</b>	<b>\$5,652,388</b>	<b>\$1,635,215</b>	<b>29%</b>	<b>21%</b>	<b>Planning</b>

The median percentage of accomplishments for all similar projects over recent years is 29%. When RPC compares this median with the base figure of 10% for FY-20, the adjusted goal comes out to 19%. Although the adjusted goal is below the **previous year's goal of 21%**, it is determined that the DBE goal should remain at 21%.

**Breakout of Estimated Race Neutral (RN) and Race Conscious (RC) Participation.** 26.51(b) (1-9)

The recipient will meet the maximum feasible portion of its overall goal by using RN means of facilitating DBE participation.

1. Arranging solicitations, times for the presentation of bids, quantities, specifications, and delivery schedules in ways that facilitate DBE, and other small businesses, participation;
2. Providing technical assistance and other services;

3. Carrying out information and communications programs on contracting procedures and specific contract opportunities;
4. **Providing services to help DBE's and other small businesses improve long-term** development, increase opportunities to participate in a variety of kinds of work, handle increasingly significant projects, and achieve eventual self-sufficiency;
5. Ensuring distribution of DBE directory, through print and electronic means, to the widest feasible universe of potential prime contractor

The recipient estimates that in meeting its overall goal 21%, it will obtain 12% from RN participation and 9% through RC measures, based upon knowledge of the firms available for work and on the history of their participation.

The recipient will adjust the estimated breakout of RN and RC DBE participation as needed to reflect actual DBE participation (see Section 26.51(f)) and track and report RN and RC participation separately. For reporting purposes, RN DBE participation includes, but is not necessarily limited to, the following: DBE participation through a prime contract obtained through customary competitive procurement procedures; DBE participation through a subcontract on a prime contract that does not carry a DBE goal, DBE participation on a prime contract exceeding a contract goal and DBE participation through a subcontract from a prime contractor that did not consider a firm's DBE status in making the award.

### **Public Participation**

**Consultation** : Section 26.45(g) (1). The overall goal of 21% was adjusted from the past goal of 18% in 2014. The past goal was established some years ago, and RPC decided that based on historical DBE participation that goal should be increased. The goal published in the past public notice of 20% was determined by a previous calculation which gave us a goal of 20.5%. After community input it was suggested that the goal be rounded up to 21%. Minority groups and businesses were contacted at the time the goal was established and it was determined that the increased DBE goal is considered a fair goal. RPC has decided to keep the 21% DBE goal going into FFY 18-20.

FFY 20-22 assessment saw a decrease in both firms and representation of DBEs within the region. Adjusted goal calculations set a minimum threshold of 19% for FY20-22. RPC staff has decided to maintain the previously assessed goal of 21%.

**Published Notice**: Section 26.45 (g) (2). The Policy Statement for the DBE Program will be published in the media normally used by the RPC to facilitate public notice of its activities. In addition, the following notice will also be published in January of each year.

## **PUBLIC NOTICE**

The Regional Planning Commission hereby announces its fiscal year 2020-2022 goal of 21% for Disadvantaged Business Enterprise (DBE) planning projects. The proposed goals and rationale are available for inspection between 9:00 a.m. and 5:00 p.m., Monday through Friday at the offices of The Regional Planning Commission, 10 Veterans Memorial Boulevard, New Orleans, Louisiana 70124 for 30 days from the date of this publication.

Comments on the DBE goal will be accepted for 45 days from the date of this publication and can be sent to the following:

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Regional Planning Commission  
10 Veterans Memorial Blvd.  
New Orleans, Louisiana 70124  
504-483-8507  
[jsappington@norpc.org](mailto:jsappington@norpc.org)

## **CONTRACT GOALS**

The recipient will use contract goals to meet any portion of the overall goal that the recipient does not project being able to meet using RN means. Contract goals are established so that, over the period to which the overall goal applies, they will cumulatively result in meeting any portion of the recipient's overall goal that is not projected to be met through the use of RN means.

The recipient will establish contract goals only on those DOT-assisted contracts that have sub-contracting possibilities. It need not establish a contract goal on every such contract, and the size of the contract goals will be adapted to the circumstances of each such contract (e.g., type and location of work and availability of DBE's to perform the particular type of work).

The recipient will express its contract goals as a percentage of the total amount of the contract

